



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Czora (et al.)**  
Serial No.: **09/802,505**  
Filed: **3/8/2001**

Attorney Docket: **0007-012**  
Examiner: **Unknown**  
Group Art Unit: **2152**

**RECEIVED**

Title: **System And Method For Simulating Conciousness**

APR 04 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Technology Center 2100

**TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT**

Enclosed for filing in the above-referenced application are the following:

1. Information Disclosure Statement;
2. Form PTO-1449;
3. 11 cited references; and
4. Return receipt postcard.

Date: 3/27/02

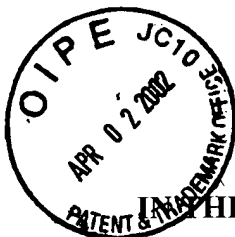
Larry E. Henneman, Jr.  
Larry E. Henneman, Jr., Reg. No. 41,063  
Henneman & Saunders  
121 E. 11<sup>th</sup> Street  
Tracy, CA 95376

**CERTIFICATE OF MAILING (37 CFR 1.8(A))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: 3/27/02

Larry E. Henneman, Jr.  
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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56, §1.97, and §1.98, Applicant(s) bring(s) the following documents to the Examiner's attention in the above-referenced application:

1. Leonard N. Foner, MIT Media Lab, Entertaining Agents: A Sociological Case Study, (1997);
2. Doug Lenat, Cycorp, The Dimensions of Context-Space; (October 28, 1998);
3. Lieberman et al., Let's browse: a collaborative browsing agent; Knowledge-Based Systems 12 (1999), pp. 427-431;
4. Douglas Whynott; Machines that walk, talk, move, and show humanlike emotions are no longer science fiction, Discover Magazine, (Oct. 1999), pp. 67-73;
5. Paul Trachtman, Redefining Robots, Smithsonian (July 19, 2000), pp. 97-112;
6. Fred Hapgood, Living Off the Land; Smithsonian (July 16, 2001), pp. 20, pp. 22;
7. Pattie Maes, Artificial Life Meets Entertainment: Lifelike Autonomous Agents;
8. Bruce G. Buchanan, Brief History of Artificial Intelligence;
9. Patrick H. Winston, Rethinking Artificial Intelligence;
10. Patrick H. Winston, Artificial Intelligence (Third Edition), book summary; and
11. Marvin Minsky, Logical vs. Analogical or Symbolic vs. Connectionist or Neat vs. Scruffy, Vol. 1, MIT Press (1990).

A PTO form 1449 listing these documents is enclosed.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

To Applicant's knowledge, a first office action on the merits has not yet been mailed in this application. Therefore, no fee is due. 35 CFR §1.97(b)(3).

Respectfully Submitted,

Date: 3/27/02

Larry E. Henneman, Jr.  
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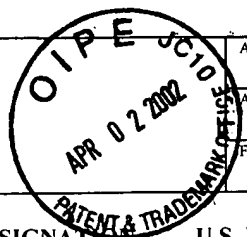
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FORM PTO-1449	ATTY. DOCKET NO. 0007-012	SERIAL NO. 09/802,505
APPLICANT		
FILING DATE 3/8/2001	GROUP 2152	



## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS

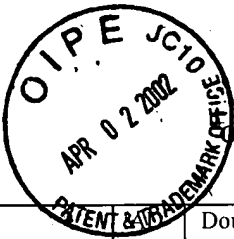
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLAS	TRANSLATION	
						YES	NO

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

AA	Leonard N. Foner, MIT Media Lab, <u>Entertaining Agents: A Sociological Case Study</u> , (1997).
AB	Doug Lenat, Cycorp, <u>The Dimensions of Context Space</u> , (October 28, 1998).
AC	Lieberman et al., <u>Let's Browse: a collaborative browsing agent</u> ; Knowledge Based Systems 12 (1999), pp.427-431.
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		Douglas Whynott; <u>Machines that walk, talk, move, and show humanlike emotions are no longer science fiction</u> , Discover Magazine, (Oct. 1999), pp. 67-73.
	AE	Paul Trachtman, <u>Redefining Robots</u> , Smithsonian (July 19, 2000), pp. 97-112.
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	AG	Pattie Maes, <u>Artificial Life Meets Entertainment: Lifelike Autonomous Agents</u> .
	AH	Bruce G. Buchanan, <u>Brief History of Artificial Intelligence</u> .
	AI	Patrick H. Winston, <u>Rethinking Artificial Intelligence</u> .
	AJ	Patrick H. Winston, <u>Artificial Intelligence (Third Edition)</u> , (1999).
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